



PRIVACY NOTICE

January 2022

FACTS	WHAT DOES <i>Capital Investment Services of America, Inc. (Capital)</i> DO WITH YOUR PERSONAL INFORMATION?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
What?	<p>The Types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ■ Social Security number and pertinent, required account information ■ _____ and ■ _____ and <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p>	
How?	All financial companies need to share pertinent personal information to run their everyday business. In the section below, we list the reasons financial companies can share their pertinent personal information; the reasons <i>Capital</i> chooses to share; and whether you can limit this sharing.	
	Reasons we can share your personal information	Does <i>Capital</i> share?
	For our everyday business purposes– Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes
	For our marketing purposes– To offer our products and services to you	No
	For joint marketing with other financial companies	No
	For our affiliates' everyday business purposes– information about your transactions and experiences	No
	For our affiliates' everyday business purposes– information about your creditworthiness	No
	For nonaffiliates to market to you–	No
Questions?	Call 414-278-7744 or go to info@capinv.com	

Who we are	
Who is providing this notice?	<i>Capital Investment Services of America, Inc. (Capital)</i> 111 E. Wisconsin Avenue, Suite 1310, Milwaukee, WI 53202
What we do	
How does <i>Capital</i> protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Physical, procedural and electronic safeguards are in place and well established. See additional comments below.
How does <i>Capital</i> collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> ■ open an account or provide updated information ■ or ■ or Personal information is not disclosed to any third party, unless required in the process/service of your account(s).
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> ■ Sharing for affiliates' everyday business purposes—information about your creditworthiness ■ Affiliates from using your information to market to you ■ Sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing. Only information required/permitted under law is disclosed
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies <ul style="list-style-type: none"> ■ N/A
Nonaffiliates	Companies not related by common ownership or control. They can be Financial and nonfinancial companies. <ul style="list-style-type: none"> ■ <i>Custodian(s)/Broker(s) for your account(s)</i>
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> ■ N/A
Other important information	
Our relationship with our clients is of utmost importance to us. As you have entrusted us with your private financial information, we will always act accordingly to maintain that trust. Our employees are trained so that your personal information is held in strict confidence and safeguarded. The non-public personal information we use is collected to enable us to open and administer your account(s), process your transactions, and help us to provide you with quality service. Personal information is collected to meet our regulatory obligations. This information is not sold to anyone.	